



2661

THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No 09/802,309
 Filing Date 3/07/2001
 3 Inventorship Krishnan
 Group Art Unit.....
 4 Examiner.....
 Applicant..... Microsoft Corporation
 5 Attorney's Docket No. MS1-327USC1
 Title: Adaptive Bandwidth Throttling For Network Services

RECEIVED

JUN 18 2001

Technology Center 2600

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: The Commissioner of Patents & Trademarks
 Washington, D.C. 20231
 From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
 Customer No. 22801



22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Information Disclosure Statement, Form PTO-1449 and 14 cited references
3. Return Post Card

Large Entity Status [x] Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 6/11/01

By:

Allan T. Sponseller
 Reg. No. 38,318

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) _____

Date: 6/11/01

By:

LeAnn M. Sassman



#2 ID Sw/refs
Smw 6-20-01

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INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

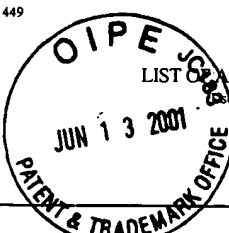
The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Applicant believes that no fees are due for submitting this Information Disclosure Statement. However, if any fees are due pursuant to 37 CFR §1.97(c), please charge such fees to Deposit Account No. 12-0769.

Respectfully Submitted,

Date: 6/11/01

By: [Signature]
Allan T. Sponseller
Reg. No. 38,318

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MSI-327USC1		SERIAL NO. 09/802,309	
 LIST OF PART CITED BY APPLICANT <small>(See several sheets if necessary)</small>				RECEIVED JUN 18 2001			
				APPLICANT Microsoft Corporation		GROUP	
				FILING DATE 03/07/2001			
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,914,650	4/90	Sriram	370	60	
	B	5,313,454	5/94	Bustini et al.	370	13	
	C	5,359,320	10/94	Jaffe et al.	340	825.5	
	D	5,477,542	12/95	Takahara et al.	370	94.1	
	E	5,231,631	7/93	Bhurke	370	230	
	F	5,280,470	1/94	Bhurke	370	468	
	G	5,381,413	1/95	Tobagi et al.	370	468	
	H	5,418,782	5/95	Wasilewski	370	486	
	I	5,432,787	7/95	Chethik	370	79	
	J	5,701,465	12/97	Baughner	370	231	
	K	5,748,900	5/98	Scott	395	200.65	
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	E						
	F						
	G						
	H						
	I						
	J						
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MS1-327USC1		SERIAL NO. 09/802,309	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> OIP JUN 13 2001 PATENT & TRADEMARK OFFICE </div> <div> LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small> </div> </div>				APPLICANT Microsoft Corporation		<div style="font-size: 2em; font-weight: bold; transform: rotate(-5deg);">RECEIVED</div> <div style="font-size: 1.5em; font-weight: bold; transform: rotate(-5deg);">JUN 18 2001</div> <div style="font-size: 1.2em; font-weight: bold; transform: rotate(-5deg);">Technology Center 2600</div>	
				FILING DATE 03/07/2001			
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,778,222	7/98	Herrick	707	9	
	B	5,600,798	2/97	Cherukuri et al.	395	200.62	
	C	5,633,861	5/97	Hanson et al.	370	232	
	D						
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FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	<div style="text-align: center;">Translation</div> <div style="display: flex; justify-content: space-around; font-size: 0.8em;"> Yes No </div>
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	E						
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	J						
EXAMINER				DATE CONSIDERED			
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